

Format of holding of specified securities

Annexure - I

- 1 Name of Listed Entity : **U Y FINCORP LIMITED**
- 2 Scrip Code/Name of Scrip/Class of Security : NSE: UYFINCORP, BSE : 530579, CSE: 10017059
- 3 Share Holding Pattern Filed under: Reg. 31(1) (b) : **Quarter Ended 31/12/2024**
- 4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO
6	Whether company has equity shares with differential voting rights?		NO
7	Whether the listed entity has any significant beneficial owner?	YES	

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of shareholders (I)	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
										No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
										Class x	Class y	Total								
(1)	Indian			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(a)	Individuals/Hindu undivided Family			4	85299555	0	0	85299555	44.838	85299555	0	85299555	44.838	0	44.838	0	0.000	0	0.000	85299555
	DEEPAK KOTHARI	PROMOTER GROUP	AABHD7041M	0	28000	0	0	28000	0.015	28000	0	28000	0.015	0	0.015	0	0.000	0	0.000	28000
	DEEPAK KOTHARI	PROMOTER	ABBPK9382M	0	37430914	0	0	37430914	19.676	37430914	0	37430914	19.676	0	19.676	0	0.000	0	0.000	37430914
	DIPTI DEEPAK KOTHARI	PROMOTER GROUP	AETPK9531R	0	3689002	0	0	3689002	1.939	3689002	0	3689002	1.939	0	1.939	0	0.000	0	0.000	3689002
	UDAI KOTHARI	PROMOTER	AQMPK0691F	0	44151639	0	0	44151639	23.209	44151639	0	44151639	23.209	0	23.209	0	0.000	0	0.000	44151639
(b)	Central Government/State Government(s)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions/Banks			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate			2	50484128	0	0	50484128	26.537	50484128	0	50484128	26.537	0	26.537	0	0.000	0	0.000	50484128
	LOTUS CAPITAL FINANCIAL SERVICES LIMITED	PROMOTER	AAACL4520B	0	37046208	0	0	37046208	19.474	37046208	0	37046208	19.474	0	19.474	0	0.000	0	0.000	37046208
	U Y INDUSTRIES PRIVATE LTD	PROMOTER GROUP	AAACU4253G	0	13437920	0	0	13437920	7.064	13437920	0	13437920	7.064	0	7.064	0	0.000	0	0.000	13437920
	Trusts			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Members			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(1)			6	135783683	0	0	135783683	71.376	135783683	0	135783683	71.376	0	71.376	0	0.000	0	0.000	135783683
(2)	Foreign			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Individuals (Non-Resident Individuals/Foreign Individuals)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Government			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Institutions			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Foreign Portfolio Investor			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	OCBs			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Individual			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Corporate			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(2)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	0			6	135783683	0	0	135783683	71.376	135783683	0	135783683	71.376	0	71.376	0	0.000	0	0.000	135783683

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter Group	6	135783683	0	0	135783683	71.376	135783683	0	135783683	71.376	0	71.376	0	0.000	0	0.000	135783683
(B)	Public	19380	54454646	0	0	54454646	28.624	54454646	0	54454646	28.624	0	28.624	0	0.000	0	0.000	54329227
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	TOTAL	19386	190238329	0		190238329	100.000	190238329	0	190238329	100.000	0	100.000	0	0.000	0	0.000	190112910

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares (XV)					
								No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)	Shareholding (No. of shares) under				
								Class x	Class y	Total									Sub-category (i)	Sub-category (ii)	Sub-category (iii)		
(1) Institutions (Domestic)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0							
(a) Mutual Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
(b) Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
(c) Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
(d) Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
Financial Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
(e) Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
(f) Asset Reconstruction Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
(g) Sovereign Wealth Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
(h) NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
(i) Other Financial Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
(j) Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
(k) Sub-Total (B)(1)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
(2) Institutions (Foreign)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
(a) Foreign Direct Investment		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
(b) Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
(c) Sovereign Wealth Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
(d) Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
Category I		1	27535	0	0	27535	0.014	27535	0	27535	0.014	0	0.014	0	0.000	0	0.000	27535					
Category II		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
(e) Overseas Depositors (holding DRs)(balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
(f) Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
QFI Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
FPI - CORPORATE - CAT-III		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
Sub-Total (B)(2)		1	27535	0	0	27535	0.014	27535	0	27535	0.014	0	0.014	0	0.000	0	0.000	27535					
(3) Central Government/State Government(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
(a) Central Government/President of India		1	4000	0	0	4000	0.002	4000	0	4000	0.002	0	0.002	0	0.000	0	0.000	4000					
(b) State Government/Governor		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					
(c) Shareholding by Companies or Bodies Corporate where Central/State Government is a Promoter		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0					

	Sub-Total (B)(3)		1	4000	0	0	4000	0.002	4000	0	4000	0.002	0	0.002	0	0.000	0	0.000	4000				
(4)	Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
	Associate Companies/Subsidiaries		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(a)	Directors and their relatives		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(b)	Key Managerial Personnel		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(c)	Promoters (other than Immediate Relatives of Promoters disclosed under Promoter & Promoter Group)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(d)	person belonging to Promoter & Promoter Group Category is Trustee, beneficiary or author of the trust		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(e)	IEPF Authority		2	147382	0	0	147382	0.077	147382	0	147382	0.077	0	0.077	0	0.000	0	0.000	147382				
(f)	Resident Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		19097	12959108	0	0	12959108	6.812	12959108	0	12959108	6.812	0	6.812	0	0.000	0	0.000	12850089				
(g)	Resident Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		102	18195096	0	0	18195096	9.564	18195096	0	18195096	9.564	0	9.564	0	0.000	0	0.000	18195096				
(h)	NRI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(i)	Repatriable		53	344187	0	0	344187	0.181	344187	0	344187	0.181	0	0.181	0	0.000	0	0.000	344187				
(j)	Non-Repatriable		40	224190	0	0	224190	0.118	224190	0	224190	0.118	0	0.118	0	0.000	0	0.000	223190				
(k)	Foreign Nationals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(l)	Foreign Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
(m)	Bodies Corporate		79	22533257	0	0	22533257	11.845	22533257	0	22533257	11.845	0	11.845	0	0.000	0	0.000	22517857				
	MAIN LAND FINANCE PVT. LTD.	AACCM3533D	0	4308173	0	0	4308173	2.265	4308173	0	4308173	2.265	0	2.265	0	0.000	0	0.000	4308173				
	SANGAM MERCHANTS PVT.LTD.	AAGCS5305M	0	3987156	0	0	3987156	2.096	3987156	0	3987156	2.096	0	2.096	0	0.000	0	0.000	3987156				
(n)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
	QFI - Individual		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
	Clearing Memebers		5	19891	0	0	19891	0.010	19891	0	19891	0.010	0	0.010	0	0.000	0	0.000	19891				
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
	FPI - INDIVIDUAL - CAT-III		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
	Unclaimed Shares A/c		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
	Unclaimed Demat Escrow A/c		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
	FPI - INDIVIDUAL - CAT-II		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0				
	Sub-Total (B)(4)		19378	54423111	0	0	54423111	28.608	54423111	0	54423111	28.608	0	28.608	0	0.000	0	0.000	54297692				
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		19380	54454646	0	0	54454646	28.624	54454646	0	54454646	28.624	0	28.624	0	0.000	0	0.000	54329227				

Table V - Statement showing shareholding details of significant beneficial owner

<i>Details of the significant beneficial owner (I)</i>				<i>Details of the registered owner (II)</i>			<i>Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect :(III) Whether by virtue of:</i>					
Sr No.	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of control	Exercise of significant influence	Date of creation / acquisition of significant beneficial interest (IV)
1	Deepak Kothari		<i>Indian</i>	Deepak Kothari (HUF)		<i>Indian</i>	19.69			No	No	30-01-2017

Table VI - Statement showing foreign ownership limits

Particulars	Approved Limits(%)	Limits utilized (%)
As on shareholding date	100	0.18
As on the end of previous 1st quarter	100	0.09
As on the end of previous 2nd quarter	100	0.09
As on the end of previous 3rd quarter	100	0.11
As on the end of previous 4th quarter	100	0.14